Community Response to Individuals with IDD Who Are Experiencing Behavioral Crisis

> Amie Lulinski, Richard Kiefer-O'Donnell, Stacey Ramirez, & Kim Bullock Moderator: Glenn T. Fujiura AUCD Annual Conference, November 11, 2014

Community Capacity to Provide Mental and Behavioral Health Services to People with Developmental Disabilities

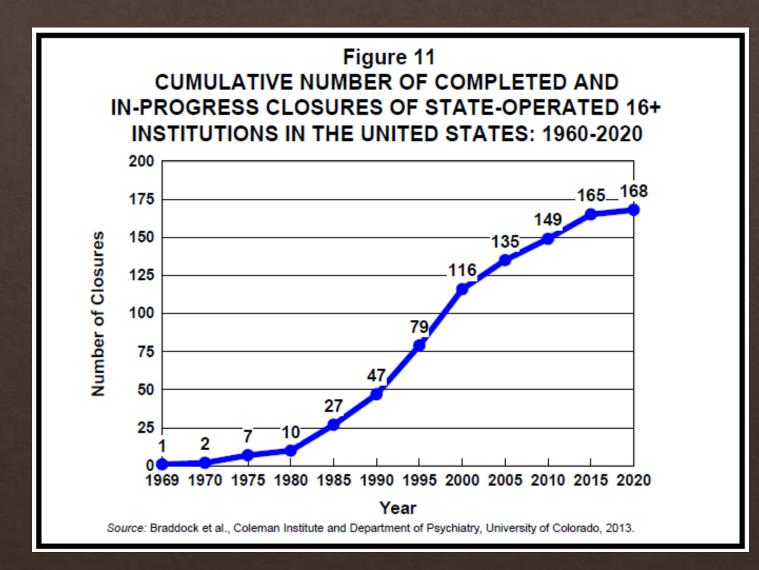
> Amie Lulinski, PhD November 11, 2014 AUCD Annual Conference

Acknowledgements

Committee:

- Tamar Heller, PhD, Advisor and Chair
- Glenn T. Fujiura, PhD
- Sarah Parker Harris, PhD
- Mary Kay Rizzolo, PhD
- Yochai Eisenberg, MUPP
- Richard Kiefer-O'Donnell, PhD

By 2020, a projected 168 institutions will have closed in the U.S.



IL has closed nine institutions

| Facility | Year Opened as IDD Facility | Fiscal Year of Closure | # of Residents at Time of Closure Announcement |
|--------------|--------------------------------|---------------------------|--|
| Adler | 1967 | 1982 | 16 |
| Bowen | 1965 | 1982 | 105 |
| Dixon | 1918 | 1987 | 820 |
| Galesburg | 1969 | 1985 | 350 |
| Howe | 1973 | 2010 | 251 |
| Jacksonville | 1851 | 2013 | 200 |
| Lincoln | 1877 | 2004 | 153 |
| Meyer | 1970 | 1993 | 53 |
| Murray | 1964 | 2014 (in progress) | 275 |
| Singer | 1966 | 2003 | 45 |

Source: Braddock, et al., 2013

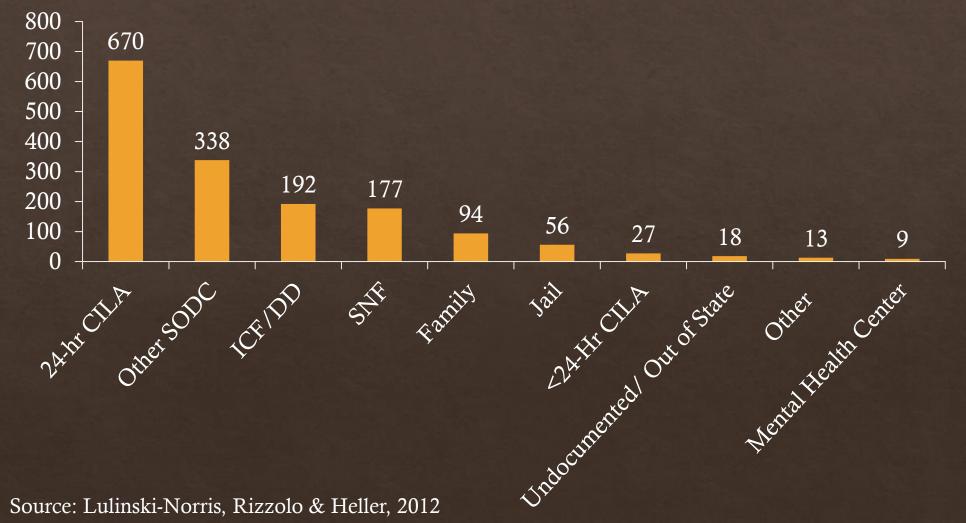
By end of FY14, **at least 2,301** will have transitioned out of an SODC since FY02

of Individuals Transitioning Out of SODCs by Fiscal Year

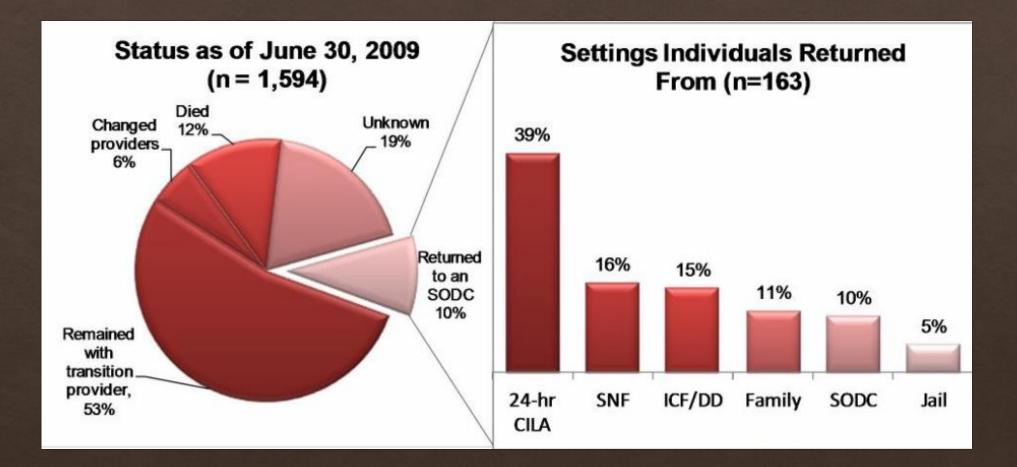


Where did people move?

of Individuals Who Moved Into Each Type of Setting



Status as of 6/30/2009



Source: Lulinski-Norris, Rizzolo & Heller, 2012

Reasons for Return to an SODC

| Reason for Return | # | % |
|-------------------|-----|---|
| Behavioral Issues | 118 | 72.4%* |
| Undocumented | 18 | 11.0% |
| Medical | 17 | 10.4% |
| Other | 10 | 6.1% |
| Total | 163 | Does not equal 100% due to rounding |

Source: Lulinski-Norris, Rizzolo & Heller, 2012

Research Questions

1) What types of mental/behavioral supports are available to individuals with IDD residing in Illinois community-based settings?;

2) Does the availability of mental/behavioral supports differ with respect to geography and population characteristics?; and

3) How does the availability of mental/behavioral supports impact an individual's transition from an SODC to a community-based setting?

Organizational Variables - Survey

- Basic agency information
- # people served across all programs
- Crisis intervention training provided
- In-house mental/behavioral health professional
 - Clinical psychologist
 - Psychiatrist
 - Licensed Clinical Social Worker (LCSW)
 - Licensed Clinical Professional Counselor (LCPD)
 - Board Certified Behavior Analyst (BCBA)
 - Associate Behavior Analyst
 - Other

> In-house mental/behavioral health treatment(s) provided

- Individual counseling/psychotherapy
- Group counseling/psychotherapy
- Applied Behavior Analysis (ABA)
- Relationship Development Intervention (RDI)
- Telehealth
- Other

- > Utilization of community services
 - Community Mental Health Centers
 - Inpatient psychiatric treatment/services
 - DHS/DDD Community supports (e.g., CART & SST)
 - Private sector
 - Federally Qualified Health Centers (FQHCs)
 - Rural Health Centers
 - University based (e.g., UIC Family Clinics)
 - Emergency Room (ER)
 - Police/911/Emergency Medical Services (EMS)
 - Other

Community services

- Reason sought (e.g., type of behaviors)
 - Harmful to self/others
 - Property destruction
 - Sexually inappropriate behaviors
 - Illegal behaviors
 - Unusual behaviors
 - Other
- Satisfaction with service
 - Scale of 1-5;
 - 1 = very dissatisfied and 5 = very satisfied

> Overall assessment of the current availability and capacity of community mental/behavioral health services for people with IDD

- Scale 1-5;
- 1 = very poor and 5 = very good
- > Assessment of change in services over past 3-5 years
 - Scale 1-5
 - 1 = worsened greatly and 5 = improved greatly

- > Open ended questions
 - Positive aspects of the mental/behavioral health service system for people with IDD;
 - Barriers to the provision of mental/behavioral health services for people with IDD;
 - How do barriers impact organizational ability to provide services to people transitioning out of SODCs;
 - Suggestions for systems improvement; and
 - Additional comments/insight.

Individual Variables

- > Participants
 - Any individual who transitioned out of any IL SODC for any reason and into a communitybased setting between October 1, 2001 and December 31, 2012
- > Settings
 - Community-based settings (for 15 or fewer persons)

Individual Variables

- > Data Collection
 - Individual data was obtained from DHS/DDD staff
- > Demographics
 - Age, length of stay at SODC, gender, level of ID, ASD diagnosis, adaptive skills, psychiatric diagnosis & health risk score
- > Measurement Instruments
 - Health Risk Screening Tool
 - Inventory for Client and Agency Planning (ICAP)
 - Service Level Scores

Individual Variables

Transition placement

- Organization providing services
- Type of setting (ICF/DD, CILA, etc.)
- # of residents in setting
- Transition Status
 - Remained in transition placement ("stayers")
 - Returned to an SODC ("returners")
 - Reason for return (medical, behavioral, dietary, etc.)
- Receipt of Technical Assistance (TA)

Findings: Question 1

What types of mental/ behavioral supports are available to individuals with IDD residing in IL community-based residences?

Participating Organizations

≻ 65 organizations responded (55.6%)

- Average size = 120 (sd = 185, range 2 1,300)
- 2/3 served 100 or less
- > Respondents
 - 35.4% CEO/President/ED
 - 21.5% VP/Associate ED
 - 24.6% Director
 - 16.9% Manager/Coordinator/Administrator

In-house professionals

| Drefeesionel | # of Respondents that | 0/ |
|---|-----------------------|-------|
| Professional | have access | % |
| Psychiatrist | 30 | 46.2% |
| Board Certified Behavior Analyst | 28 | 43.1% |
| Clinical Psychologist | 26 | 40.0% |
| Licensed Clinical Social Worker | 24 | 36.9% |
| Social Worker | 20 | 30.8% |
| Licensed Clinical Professional Counselor | 18 | 27.7% |
| Associate Behavior Analyst | 15 | 23.1% |
| Other professional | 9 | 13.8% |
| Licensed Marriage & Family Therapist | 2 | 3.1% |

In-house treatments

| Therapy | # | % |
|--|----|-------|
| Individual counseling/ psychotherapy | 31 | 47.7% |
| Applied behavior analysis (ABA) | 27 | 41.5% |
| Group counseling/therapy | 21 | 32.3% |
| Telehealth | 6 | 9.2% |
| Other | 5 | 7.7% |
| Relationship Development Intervention (RDI) | 1 | 1.5% |

Use of Community Services

| Community-based service | # | % | Satisfaction Score |
|---|----|-------|-----------------------|
| Police/911/Emergency Medical Services | 59 | 90.8% | 3.5 |
| DHS/DDD supports | 58 | 89.2% | 2.8 |
| Emergency Room | 54 | 83.1% | 2.9 |
| Inpatient psychiatric treatment/crisis services | 53 | 81.5% | 3.1 |
| Community Mental Health Centers | 43 | 72.6% | 3.2 |
| Private sector mental health services | 29 | 44.6% | 3.8 |
| University based clinics | 16 | 24.6% | 3.8 |
| Federally Qualified Health Centers | 10 | 15.4% | 3.6 |
| Rural Health Centers | 9 | 13.8% | 3.5 |
| Other | 3 | 4.6% | n/a |

USEAGE AND SATISFACTION WITH COMMUNITY SERVICES

| | Police/ 911/ EMS (n=59) | DHS/ DDD supports (n=58) | ER (n=54) | Inpatient psychiatric services (n=53) | CMHC (n=43) | Private sector (n=29) | University- based (n=16) | FQHC (n=10) | Rural Health Centers (n=9) |
|-------------------------------|----------------------------------|-----------------------------------|---------------|--|----------------|-----------------------------|--------------------------------|----------------|-------------------------------------|
| Overall use* (n=65) | 90.8% | 89.2% | 83.1% | 81.5% | 66.2% | 44.6% | 24.6% | 15.4% | 13.8% |
| Behavior | \frown | | \frown | | | | | | |
| Harm to self | 69.2% | 61.5% | 70.8% | 76.9% | 60.5% | 26.2% | 56.3% | 40.0% | 66.7% |
| Harm to Others | 75.4% | 56.9% | 64.6% | 72.3% | 65.1% | 24.6% | 56.3% | 40.0% | 66.7% |
| Property destruction | 52.3% | 46.2% | 40.0% | 38.5% | 55.8% | 18.5% | 25.0% | 30.0% | 55.6% |
| Sexually inappropriate | 4.6% | 20.0% | 7.7% | 3.1% | 27.9% | 12.3% | 12.5% | 10.0% | 11.1% |
| lllegal | 16.9% | 12.3% | 4.6% | 10.8% | 20.9% | 10.8% | 6.3% | 10.0% | 0.0% |
| Unusual | 20.0% | 29.2% | 16.9% | 20.0% | 39.5% | 20.0% | 31.3% | 10.0% | 11.1% |
| Other | 3.1% | 4.6% | 0.0% | 4.6% | 23.3% | 9.2% | 18.8% | 20.0% | 11.1% |
| Mean satisfaction score | 3.5 (n=57) | 2.8 (n=53) | 2.9 (n=53) | 3.1 (n=53) | 3.2 (n=40) | 3.8 (n=28) | 3.8 (n=16) | 3.6 (n=10) | 3.5 (n=8) |

*Percentage of respondents that reported using service

Positive aspects of system

- > Access
- Availability
- Competence
- Funding
- > Responsive community relationships
- Self-sufficiency
- > SSTs
- Specific programs

Barriers to the provision of services

- Access to psychiatric treatment
- Lack of timely crisis services
- Lack of skilled professionals
- > Medicaid rates

"Too few psych units will accept clients and those that do are often full or too far away. Also, while currently have a good psychiatrist, most of them either do not want to serve this population, are not available or are only available limited hours, or do not have admitting privileges."

Impact of barriers on organizational ability to provide services

> Deterrent

- *"We are <u>hesitant</u> to take individual[s] from state operated facilities due to the lack of close psychiatric care..."*
- "Due to limited resources to cover the added costs of supporting individuals with behavioral needs, [our] agency has been <u>less willing</u> to consider those persons who may have significant behavioral issues."
- "We might simply <u>decline</u> them..."

Suggestions

> Increase:

- Access to services
- Reimbursement rates
- Training
- Collaboration
- Policy change
- Targeted transition

- "In patient treatment options for short term respite or medication adjustments would be beneficial."
- Short term crisis units that allow for drug holidays and 24 hour professional medical oversight for medication changes."

Summary

~10% of community placements result in reinstitutionalization

Mainly due to behavioral issues

Access to and capacity of community mental/behavioral health services is lacking

> Overall low satisfaction scores

Implications

- > Research implications
 - Need for additional research
 - TA & crisis response
- > Policy implications
 - TA & crisis response
 - Increased training of mental health professionals
 - Improved collaboration between state DD and MH agencies
 - Increased Medicaid rates

Three Interventions

Alaska's Complex Behavior Collaborative Program

Georgia's Crisis Intervention Team Developmental Disabilities Administration Health Initiative (DDA HI)

Community Response to Individuals with IDD Who Are Experiencing Behavioral Crisis: *Alaska's Complex Behavior Collaborative Program*

Dr. Richard Kiefer-O'Donnell Associate Director/Associate Professor UAA Center for Human Development AUCD Annual Meeting November, 2014

Agenda

- Overview Alaska's somewhat unique needs for serving children, youth and adults with cognitive impairments and challenging behaviors
- Discuss it's response: the Complex Behavior Collaborative
- Review services and preliminary data on outcomes

Alaskan Context and Capacity

- 1. Current state population of 735,132 with over half residing in Anchorage; another 75,000 on road system.
- 2. Oct 1997: Closure of the only state institution for developmentally disabled (Valdez, 160 beds, licensed as ICF-MR).
- Dec 1999: Voluntary de-certification of 40 ICF-MR community-based beds (5 homes) with all now served by HCBW. All had been children and youth.
- I/DD Waiver program now serves approximately 2850 individuals statewide, with 185 served by one of 17 Tribal Health Corps.

Alaskan Context and Capacity

- 5. Two state initiatives (*Alaska Youth Initiative* and *Bring the Kids Home*) to return the 500+ children, youth and adults who are served outside of the state.
- As of 2009, only ONE registered BCBA in the state but she was retired. No graduate program to develop professionals with related skill sets.
- Jan 2009: Development of CHD's specialized program to train BCBAs to serve individuals with Autism. State now has 27 BCBAs.
- CBC evolved from a state-funded evaluation of in- and out-of-state needs in 2009 and low workforce capacity, beginning in in 2012.

What is the Alaskan Complex Behavior Collaborative

- Intense & specialized consultation and training services provided by the center and 3 other Alaskan organizations
- Operated by Alaska's state DD and BH divisions which then solicit referrals from providers.
- State recruits and reviews consultant credentials, and awards Fee for Service contracts to provide services.
- State project officer determines best match and participates in service planning.

State of Alaska goals for the Complex Behavior Collaborative

- 1. Improve the quality of life for Alaskans with disabilities and complex needs ...
 - a) Stabilize behaviors and residential placement;
 - b) Expand social and communication skills to promote increased community inclusion.
- Development of infrastructure for collaborative interventions, continuity of care
- 3. Development of robust, competent workforce
- 4. Avoid ADA violations
- 5. Cost savings for state

http://dhss.alaska.gov/dbh/Pages/ComplexBehavior/Default.aspx

Complex Behavior Collaborative

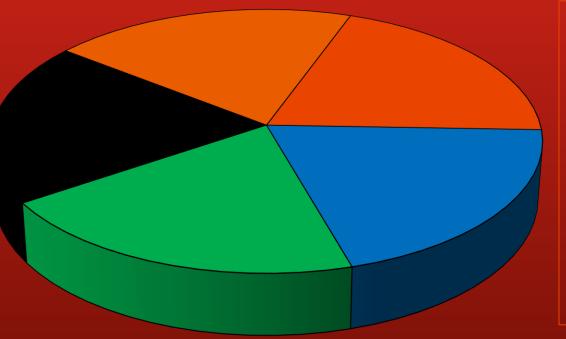
Individuals over the age of <u>6 who experience:</u>

- Intellectual and developmental disability
- Chronic mental health concerns (often cooccurring with I/DD or FASD)
- Acquired traumatic brain injury
- Substance abuse (often with FASD)
- Dementia/Alzheimer's

Participants in program must be:

- Currently receiving services from Senior & Disabilities Services or Behavioral Health
- Have housing where they can be assessed and get services.
- Demonstrate behaviors that are so complex that they are
 - 1. outside the range of expertise of local caregivers and providers, OR
 - 2. available treatment has been exhausted without success for the individual

CBC Program Services

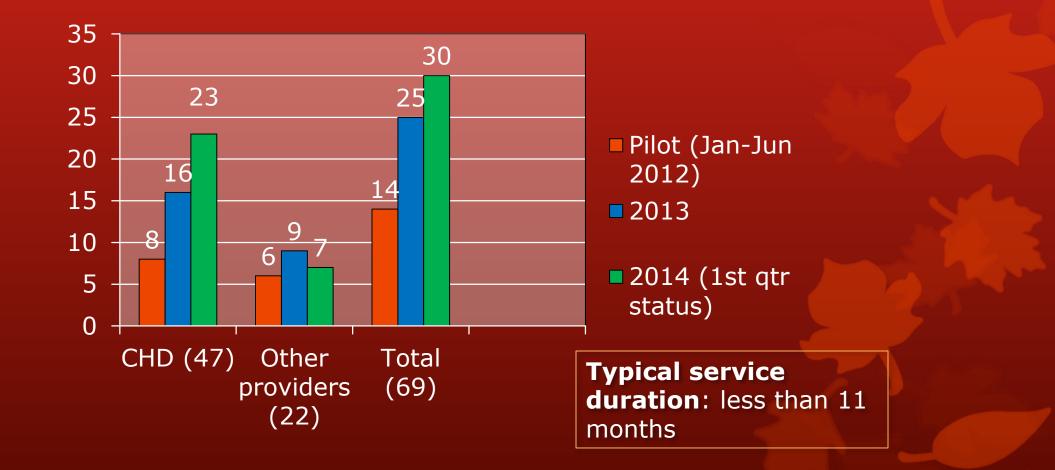


 FBA/Beh Interv Planning
 Staff and Family Training
 Team facilitation/Tech assistance
 Transition Planning

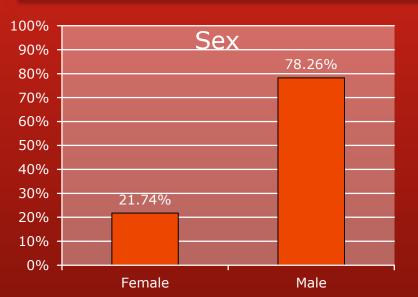
Service Closure Planning

FBA initiated within 48 hrs. of receipt of referral and BIP approved/implemented within 20 business days of referral.
Includes development of data collection system and initial analysis
Initiated 20-40 business days prior to closure of services

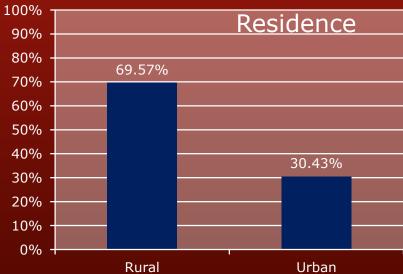
Growth of Services



Demographics

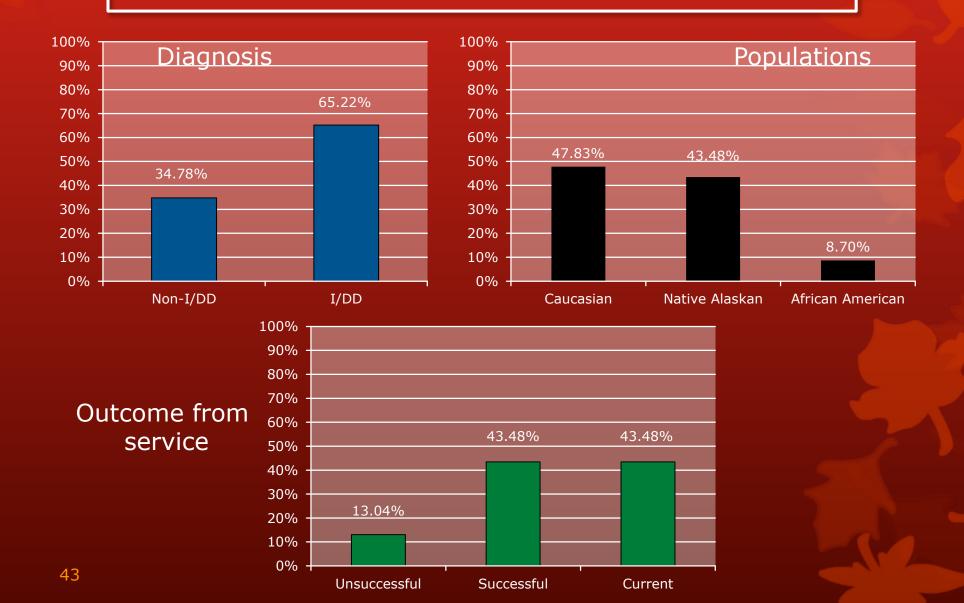






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Demographics and Service



Program evaluation: In addition to individual measures/data

Outcomes from service

- Five measures of reduction of behavior; increase of positive social skill
- Four measures of increased access to natural supports & routines; included settings; choice.

Satisfaction with quality

- 1. Anchored to specific services and typical skills trained
- 2. Includes collaboration and communication tasks performed
- 3. Informal: Importance of building relation ships

Impact of training

- 1. Post pre approach for 20 skill areas
- 2. Increasing use of fidelity measures
- 3. Impact of staff turn-over







Georgia CIT



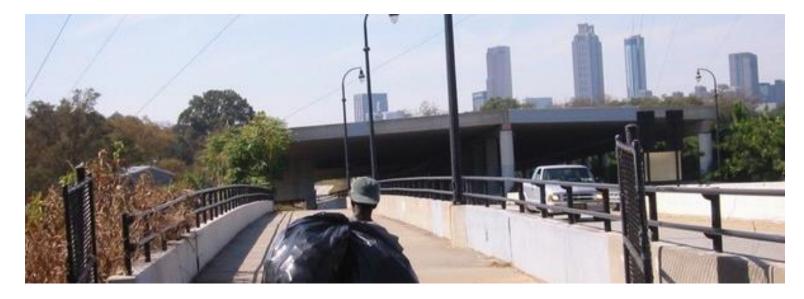




Georgia CIT Vision







Vision: A Georgia where people with mental illnesses and other behavioral health disorders are treated, not incarcerated

Georgia CIT Mission





Mission:

Equip Georgia CIT Officers with the skills to assist people with mental illnesses and other behavioral health disabilities in crisis, thereby advancing public safety and reducing stigma



Statewide CIT Goal













Goal:

Train all of Georgia Law Enforcement in CIT

Partnerships







Memphis Model CIT











- In 1987, an unarmed man with a mental illness was shot and killed by Memphis police; a public outcry followed
- In response, the Memphis PD and the local NAMI affiliate developed CIT (Crisis Intervention Team)

Why Georgia CIT?



- 33-75% jail population with mental illness, developmental disability, or addiction related offenses
- 15-16% Georgia Department of Corrections inmates with MI, DD, or AD (low compared to Nat'l stats)
- 75% of YDC population with MI or SED



 Georgia partnership of NAMI, law enforcement and mental health professionals traveled to Memphis for 2 day orientation, and returned for 40-hour course

• In 2004 Georgia adopts Memphis Model for state CIT program









- Georgia CIT officers are represented throughout the continuum of law enforcement (police, deputies, parole/probation, corrections, GBI, State patrol, etc.
- Many CIT officers have family or friends with a mental illness or other behavioral health disorders
- This program has to come from the heart





- 108 Police Departments
- 58 Sheriffs Offices
- 17 Colleges and Universities
- 10 State Agencies
- 6 Federal Agencies







- Received 2008 IACP Award for Multi-Agency Collaboration
- Received 2013 CIT International Coordinator of the Year Award
- 2013 accompanied Carter Center to Liberia to begin work developing CIT Program for Liberia National Police
- Most researched CIT program

NAMI CIT Objectives











- Whenever possible, ensure the person in focus receives treatment or alternative placement rather than jail
- Avoid unnecessary force by ensuring the CIT officer recognizes a mental illness or other behavioral health disorders
- Ensure CIT officer has skills to deescalate a person in crisis

Combating Stigma



One of the hallmarks of CIT is reducing the stigma associated with mental illness and developmental disabilities. We do this by:

- Dis-spelling myths about MI/DD and addiction
- Learning behaviors as symptoms
- Interacting with people with disabilities
- Using perspective to reduce fear and social distance

40-Hour CIT Curriculum



| Monday | Tuesday | Wednesday | Thursday | Friday | ** TEAM ** |
|---|---|---|---|-----------------------------|------------|
| Mental Illnesses and Other Behavioral Health Disorders | Site Visits/ Consumer Interaction | Mental Illnesses and Other Behavioral Health Disorders | De- Escalation Skills | De- Escalation Skills | |
| Lunch | Lunch | Lunch | Lunch | Lunch | |
| Mental Illnesses and Other Behavioral Health Disorders | Site Visits/ Consumer Interaction | De- Escalation Skills | Mental Illnesses and Other Behavioral Health Disorders | Post-Test & Graduation | |

40-Hour CIT Curriculum







- Volunteer experts from the community provide training
- P.O.S.T. approved by the Georgia Peace Officer
 Standards and Training
 Council
- Includes end of week test

Immediate Response





 Ideally, 911 dispatch identifies a call where a consumer with a mental illness or other behavioral health disability is in crisis



• The closest CIT officer is dispatched to the scene along with other law enforcement



Crisis Intervention











De-escalation Training

- The CIT officer attempts from the beginning to learn and use the person's name
- The CIT officer uses compassion, respect, <u>listening skills</u> and knowledge of crisis intervention stages
- The officer explains the CIT process to individuals with a disability and families

Positive CIT Outcomes





This is why we do it!

- Decreased number of people with MI, DD, AD incarcerated
- Decreased officer and consumer injuries
- CIT officers become consumer advocates
- Consumers more willing to call police for HELP
- CIT officers more willing to call MH/DD providers to help consumers!!
- CIT officers more aware of resources

Georgia CIT Data





Overview of program:

Dr. Michael T. Compton, professor and director of research initiatives, Department of Psychiatry and Behavioral Sciences at The George Washington University

Georgia CIT Summary





CIT is More than Just Training!

It is collaboration of formal and informal systems converging for a common good

Behavioral Health and Emergency Department Crisis Intervention: Improving IDD Patient Care

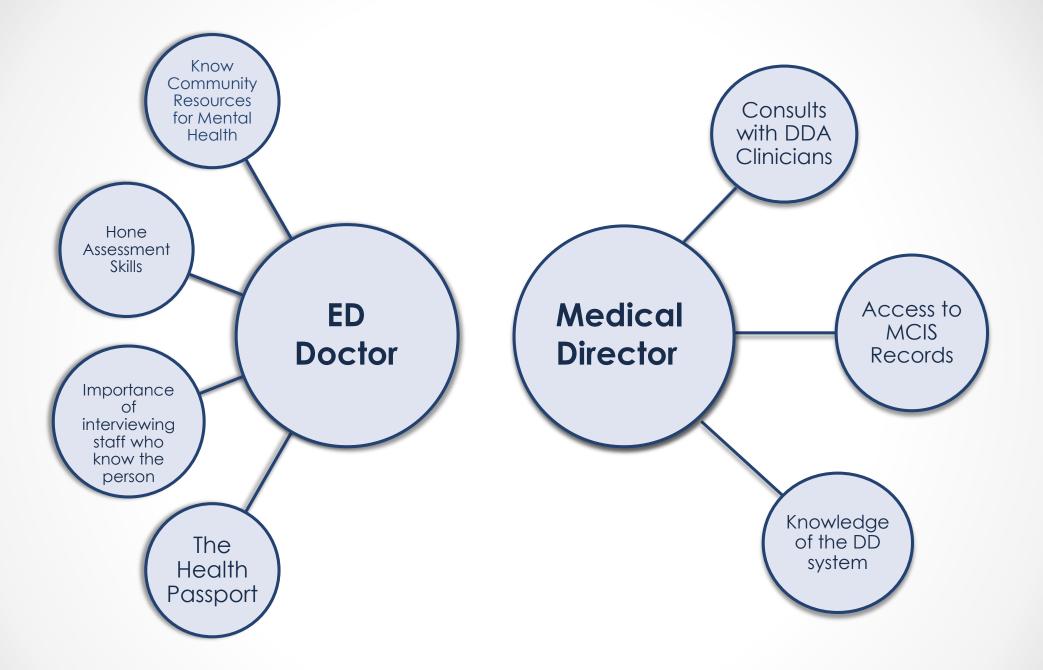
Kim Bullock, MD, FAAP

Developmental Disability Administration Health Initiative

| Medical Consultation | Health Education | | |
|--------------------------|-------------------|--|--|
| Transition of Care | Nursing Education | | |
| End of Life Consultation | Evaluation | | |
| Parent Supports | | | |

http://www.gucchdgeorgetown.net/ucedd/DDA/index.html

Or Google "DDA Health Initiative"



Assessment Components

Identify the trigger

Is this a change from the baseline? When did this behavioral change start?

What is the treatment profile?

Interface with the psychiatrist
Medical history (Health Passport)
Family and community supports

If admitted...

Coordination of discharge plan Determine if the individual has had a dementia and depressing screening

Next Steps- Capacity Building

Trauma-Informed Care

Increase the number of psychiatrists

Increase the skills of the support team members

Questions?

Thank you!